



## Kimberley P. Cronin

As part of the firm's Corporate Practice Group, Kimberley's practice focuses on the representation of established companies, start-up firms and individuals. Kimberley provides general corporate and employment counsel and advice on a variety of issues to her clients nationwide. Kimberley also provides counsel and advice to firms and individuals as part of her niche practice. She provides cutting-edge, individualized, strategic, legal advice to financial advisors, registered investment advisory firms, and broker-dealers in the Financial Services Industry. Areas of practice include transition assistance, contracts and agreements, retention and recruitment loan negotiation, FINRA Inquiries, FINRA Enforcement Actions, state regulatory agency inquiries and licensing issues, board certification appeals, arbitration strategy, and general business and strategic growth advice.

Kimberley's corporate practice spans decades and includes all facets of corporate law including corporate entity formation, partnership agreements, buy/sell agreements and acquisition work.

Kimberley has a real passion for working with advisors and advisory firms within the Financial Services Industry and has specialized knowledge of the broad spectrum of issues clients face within the industry. Kimberley's experience includes working with individuals and teams managing between 10M AUM-1B AUM.

### Contact:

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☎ 303.534.9343

**Title:** Partner

### Practice Groups:

→ Corporate

### Admitted to Practice:

→ Massachusetts, 1997

→ Colorado, 2002

### Education:

→ Boston College Law School 1997

→ University of Colorado Boulder  
1993

### Associations:

→ South Metro Denver Chamber of  
Commerce

→ Security Industry and Financial  
Markets (SIFMA) Compliance and  
Legal Society

### Prior Professional Experience:

→ National Advisor Counsel LLC,  
Partner, 2012-2014

→ Messner & Reeves LLC, Associate,  
2008-2012

### Community Involvement:

→ St. Jude Children's Research  
Hospital

### Awards:

→ Expert Contributor - Estrada  
Strategies Business Radio Show,  
December 2011

→ Frequent contributor as an expert  
legal consultant.